

**Patent Specification**

**for**

**Methods and apparatus for  
simulating dental procedures  
for training dental students**

**Invented by**

**John F. Ranta  
Walter A. Aviles  
R. Bruce Donoff  
and  
Linda P. Nelson**

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Charles G. Call, Reg. No. 20,406  
53 Saint Stephen Street, Boston, MA 02115  
USPTO Customer No. 021253

**Cross Reference to Related Application**

This application claims the benefit of the filing date of U.S. Provisional  
Application Serial No. 60/237,453 filed on October 3, 2000.

## Field of the Invention

This invention relates to computer-assisted training systems and more particularly to a virtual reality system for realistically simulating dental procedures.

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## Background of the Invention

For the past 80 years, dental students have used artificial (“Dentoform”) teeth and jaws, along with real dental instruments, to develop expertise in cavity preparation and other procedures. There are at least the following shortcomings with this approach:

- 10        1.        These Dentoform models lack the level of detail and material properties needed to exactly mirror real life teeth and procedures.
2.        These Dentoform models do not allow the student’s work to be quantified or graded accurately, hence standards are difficult to instill or maintain.
3.        Real life experiences such as bleeding or tooth extraction cannot be  
15        simulated with Dentoform training models.

Due to these and other deficiencies, current training procedures require that dental students gain a portion of their required experience while practicing on patients. This is obviously less than optimal.

Computer simulation has promised to make medical education, including  
20        dentistry, quantifiable and scientific, and to make it possible for students to master procedures without the use of cadavers or the need to practice on live patients. However, computer simulation has not been effective for many types of medical training, including dentistry, because of the lack of true touch interaction. Utilizing classical, visual-only, computer simulations are not acceptable because a significant part of a dentist’s learning  
25        is sensorimotor in nature. A dentist strongly depends on touch feedback from the tool tip for diagnosis and positional guidance during procedures.

Haptically enhanced simulations can provide the sensorimotor involvement needed for dental training. Moreover, if the simulation can provide a level of haptic realism equal to or better than the artificial-tooth-and-jaw-approach, it promises to be  
30        superior to the current physical Dentoform approach in simulating other aspects of procedures such as bleeding. In addition, virtual reality (VR) simulation offers “super-

reality” training benefits that are not possible with either Dentoform models or live patients. For example, the student can repeat and playback procedures many times, precisely measure and quantify their results and even zoom in or work in x-ray mode to see their work in detail.

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### **Summary of the Invention**

It is accordingly a principal object of the invention to provide a realistic simulation of dental procedures by using haptically enhanced simulations to provide the sensorimotor involvement needed for dental training.

10 A dentist strongly depends on touch feedback from the tool tip for diagnosis and positional guidance during procedures. To provide touch feedback in combination with a realistic visual experience, the present invention integrates a haptic stylus interface for simulating the movement and feel of the tool-tip with a three-dimensional, stereoscopic display.

15 The haptic stylus enables the dental student to orient and operate simulated dental tools. Working on a virtual model viewed in a stereo display, dental students can use a simulated pick to probe a tooth, or a simulated drill to prepare a tooth for cavity repair. The touch feedback is simulated by representing these dental instruments as force-to-a-point tools which map to haptic simulation procedures executed on a computer  
20 workstation that also provides the visual display.

The workstation computer generates a succession of stereoscopic display images at rapid refresh rates, which are viewed by the student through liquid crystal display stereo shutter glasses to yield a three-dimensional image of both the tooth material and the tool head.

25 The graphics display renders the tooth material volumetrically as a solid, and provides for the high speed calculation of drilling and filling procedures. The tool head is also rendered as a volume, which enables high speed collision detection. The tooth (molar) models, which may be supplied on diskettes or CD's, or downloaded via the Internet as a stereolithography files, may be selectively displayed in both healthy and  
30 decayed forms.

The training system display presents the user with menus for tool selection and operation, file load/save functions, and selecting view modes and application operations. NDH (non-dominant hand) use of keyboard and mouse is enabled for application interaction.

5       The present invention has the potential for providing a level of haptic realism equal to or better than the artificial-tooth-and-jaw-approach, and promises to be superior to the current physical fixture approach in simulating a rich variety of dental procedures. In addition, the simulation provided by the invention offers “super-reality” training benefits that are not possible with either plastic models or live patients, and permits the  
10       student to repeat and playback procedures many times, precisely measuring and quantifying their results and even zooming in or working in x-ray mode to see their work in detail.

These and other objects, features and advantages of the present invention may be more clearly understood by considering the following detailed description of a specific  
15       embodiment of the invention. In the course of this description, frequent reference will be made to the attached drawings.

### **Brief Description of the Drawings**

Fig. 1 is a perspective view of the haptic interface, display, and processor used to  
20       implement an embodiment of the invention.

Figs. 2-5 depict different dental tools whose use is simulated by the invention including a carver (Fig. 2), a pick (Fig. 3), a burr (Fig. 4) and a carrier (Fig. 5).

Fig. 6 illustrates the different materials which make up a decayed tooth which is simulated by the invention.

25       Fig. 7 illustrates a screen display of a virtual tool with virtual object as simulated by the invention.

Fig. 8 depicts the common use of feel points in virtual tool.

Fig. 9 illustrates the use of feel points and sensor points.

Fig. 10 depicts the use of feel points around larger version of a modification  
30       region.

Fig. 11 shows feel points around a smaller version of modification region.

Fig. 12 illustrates feel point density.

Fig. 13 shows the use of feel points as guides (channel guide example).

Fig. 14 illustrates a single feel point approach.

Fig. 15 illustrates a multiple sensor point approach using a one sensor point per  
5 feel point example.

Fig. 16 depicts a multiple modification region sub-region refinement example.

### Detailed Description

The preferred embodiment of the invention is a Virtual Reality Dental Training  
10 System (VRDTS) illustrated in Fig. 1 which utilizes the PHANTOM™ haptic interface  
device 10 connected to a conventional computer or workstation 15. The PHANTOM™  
haptic interface is available from SensAble Technologies, Inc. of Woburn,  
Massachusetts, and is described in U. S. patent 5,898,599 entitled "Force reflecting haptic  
interface," issued on April 27, 1999 to Thomas H. Massie and J. K. Salisbury, the  
15 disclosure of which is hereby incorporated herein by reference, and in "The PHANTOM  
Haptic Interface: A Device for Probing Virtual objects" by Thomas H. Massie and J. K.  
Salisbury, *Proceedings of the ASME Winter Annual Meeting, Symposium on Haptic  
Interfaces for Virtual Environment and Teleoperator Systems*, Chicago, IL, Nov. 1994.  
The PHANTOM™ interface provides a broad range of workspace, stiffness and motor  
20 force, provides a passive three degrees of freedom positional measurement, and includes  
a power amplifier and interface card (ISA or PCI) for integration with a conventional PC  
running Windows NT as well as Silicon Graphics workstations. Software tools available  
for use with the PHANTOM™ interface include a system development kit "SDK" (the  
General Haptic Open Software Toolkit), which provides the "physics of touch" engine  
25 that takes care of the complex computations, permitting the applications program to deal  
with simple, high-level objects and physical properties like location, mass, friction and  
stiffness. These hardware and software tools are used as a framework for the specific  
dental simulations used in dental training described below. The display may be provided  
by a conventional monitor as illustrated at 20 to provide a two-dimensional display or,  
30 when the monitor 20 is used with liquid crystal eyeglasses (not shown) to transmit  
separate images to the left and right eyes, as a stereoscopic display showing both the

virtual tool and object with depth, perspective and presence in a three-dimensional workspace. Suitable LCD eyeglass systems are available from StereoGraphics Corporation of San Rafael, CA 94901.

## 5 The Cavity Preparation Simulation

The VRDTS simulates a simple Cavity Preparation (CP) procedure, wherein the student diagnoses, removes and repairs an occlusal cavity (“occlusal” refers to the bite surface of a tooth). In performing the procedure, the user manipulates the stylus 11 of the haptic interface shown at 10 while viewing a screen display seen at 20. The student uses simulations of four conventional dental instruments illustrated in Figs. 2-5: a carver as seen in Fig. 2, a pick as seen in Fig. 3, a drill (burr) as seen in Fig. 4, and a carrier as seen in Fig. 5. Simulations of several different sizes and shapes of picks and carvers, and different drill bits are available to the user and correspond to conventional dentistry tools. See Richard S. Schwartz, DDS, *Fundamentals of Operative Dentistry*, Quintessence Publishing, 1996.

A decayed tooth may be simulated by a model consisting of four different materials as illustrated in Fig. 6: enamel (hard like porcelain) as seen in cross-section at 33, dentin (softer, like ivory) shown at 35, pulp (very soft, like Jello) at 37, and a cavity (somewhat soft, like leather) shown at 39. As discussed hereinafter, the different materials which make up the tooth can be represented by different data values in an attribute grid.

The system may be used to simulate the procedure for treating a simple (occlusal) cavity in a molar as depicted by the screen display illustrated in Fig. 1. The student explores the tooth surface and detects the relatively softer area of the cavity by probing it with the pick (Fig. 3). The haptic interface 10 is used to orient the pick in six degrees-of-freedom, and to present the pick tip forces as the tooth is probed. Surface spring and friction forces are adjusted to simulate the feel of enamel.

The student uses the displayed tool menu seen at 21 in Fig. 1 to select the drill (Fig. 4) to remove the decayed material, ensuring that the walls of the resulting hole are smooth, and that there is a reverse draft angle for retaining amalgam. The haptic interface

is used to position the tool tip and remove the voxels from the tooth model that are intersected by the drill head.

The student then selects the carrier tool (Fig. 5), and fills the prepared cavity with amalgam. Amalgam is a constant volume, highly viscous fluid, which sets (becomes as hard as enamel) approximately 15 minutes after application, as simulated by timed changes in the data in the attribute grid which represents the amalgam. Here the haptic interface is used to position the tool tip to add voxels (volume elements) to the tooth model when the stylus button is pressed. The student pushes the amalgam into the cavity preparation until the amalgam has filled it to overflowing. The student then selects one of the carvers (Fig. 2) to contour the amalgam to match the original contour of the tooth.

A dentist depends strongly on touch feedback from the tool tip for diagnosis and positional guidance during procedures. The tooth and tool tips need to be presented in sufficient detail to render the fissures and other surface features of the tooth. Higher resolution tooth models are accordingly used to achieve the detail desired.

The interaction of the pick tip with tooth surfaces is quite realistic. The drill should simulate cutting resistance, cutting (burr side as well as tip) behavior and accuracy (which is related to the resolution of the tooth model). In addition, drilling realism benefits from providing haptic rendering of high frequency vibration. The carrier and carver tools must work with a realistic rendition of amalgam properties. The haptic interface device should be capable of six degree-of-freedom (DOF) force-feedback to enable collision detection of instrument handles. Six degree-of-freedom force feedback should also be used to simulate the torque forces of tooth extraction. However, three degree-of-freedom force-feedback capabilities have been found to be more than adequate for cavity preparation properties.

### **Modeling Virtual Objects with a Volumetric Representation**

In accordance with the invention, the topologies of the virtual models of dental anatomy are represented using volumetric representations. These volumetric representations model the shape and interior of a virtual object as a collection of (usually cubrile) volume elements or voxels. These voxels are stored in a 3D-grid data structure known as the Object Grid. The data values at any given grid location determine whether

that grid location is inside, outside or on the surface of the virtual object. The typical convention used is that empty space is specified by a low (usually zero) data value at a grid location and that the presence of virtual material is specified by a high data value. This convention can be switched, however, and the idea and process still holds.

5       The surface of the virtual model is determined and specified by an analytic isosurface or surface of equal values within the 3D-grid data structure. A particular data value (typically  $\frac{1}{2}$  the data range or 128 when using 8-bit data for example) is picked as representing the surface of the virtual model. Using interpolation techniques (typically tri-linear interpolation) to determine the data value between the points in the 3D grid,  
10   those points lying at the isosurface value are said to be on the surface of the virtual object. In essence, this is the three-dimensional analog of contour lines in topographic maps. Using the convention that low data value represents empty space, those points that have a data value lower than the isosurface are said to be outside the object and those points that have a data value higher than the isosurface are said to be inside the object.

15       In common practice, all of the voxels that comprise the virtual object are considered to be a single virtual “material”. This virtual material has material attributes that affect its visual and haptic rendering and its response to modification operations by virtual tools and operators.

      In the VRDTS system, this concept has been extended such that each individual  
20   voxel in the 3D grid can have its own unique material type. In VRDTS this is accomplished by having another 3D-grid data structure, known as the Attribute Grid. This grid is the same size as the Object Grid and the values contained in it act as indexes into a material type lookup table. The material type lookup table contains material attributes that affect the voxel’s visual rendering, the haptic rendering and its response to  
25   modification operations by virtual tools and operators. The data value associated with points between the grid points in the Attribute Grid can be determined using nearest neighbor approaches or interpolation techniques. A common interpolation technique is tri-linear interpolation. The VRDTS uses the nearest neighbor approach.

      This indirect approach to specifying the visual and haptic attributes of the virtual  
30   material has two fundamental advantages. First, computer memory usage is significantly minimized. Secondly, collections of virtual materials (i.e., associated visual and haptic



attribute parameters) can be easily created. One or more Attribute Grids can be used for a given Object Grid. The VRDTS uses one Attribute Grid.

It should be noted that any attribute of the object can be represented in the Attribute Grid. These attributes are not limited to attributes affecting visual rendering, haptic rendering or the object's response to modification operations by virtual tools and operators. For example, the physiological identity of a particular voxel (e.g., that the voxel represents enamel in a tooth) may be represented in an Attribute Grid. It should be noted that virtual tools and operators can affect the Attribute Grid as well as the Object Grid.

### **Tool Interactions with Multi-Material Virtual objects**

A virtual tool is designed to interact with the virtual object as tools do in the physical world. As seen in Fig. 4 of the drawings, the virtual tool indicated generally at 101 interacts as a solid object and has a "modification region" at 103 (i.e., that part of the tool that modifies objects) and a handle 105 (i.e., those parts of the tool that do not typically modify objects). Each type of tool has a shape and modification characteristics / capabilities that, together with the virtual object's properties, determine its action on virtual objects

In common practice, the modification region of the virtual tool is that part of the tool that interacts with and changes (e.g., adds or removes material) the virtual object. For example, Figure 7 shows a virtual dental drill tool. In this case, the modification region 103 is that part of the tool, the "drill bit," that actively removes material from the virtual object 107 (i.e., the virtual tooth model). Also in common practice, interaction forces are generated during virtual tool / virtual object interactions. These interaction forces are computed by modeling the surface of the virtual tool as a collection of points that are referred to as feel points. During iterations of the force-feedback loop, the position of each feel point associated with the virtual tool is compared to the isosurface of the virtual object. If a feel point is within the virtual object (as determined by its isosurface) then an interaction force is computed for that feel point. The interaction forces computed for all the feel points associated with the virtual tool are combined and an aggregate interaction force is fed back to the user via the haptic interaction device. In

common practice, the feel points are located on the surface of the modification region 103. Figure 8 provides a schematic illustration of a virtual tool and a virtual object where the feel points (positioned as shown by the five-pointed stars 111) are allocated at the exterior surface of the modification region 112.

5 In the VRDTS system, this common practice has been substantially refined and extended. As shown in Figure 9, VRDTS extends the virtual tool model to represent handle region(s) at 121, modification region(s) at 112, feel point(s) 111 and sensor point(s) 116. Feel points are added to the handle region(s) so that the user can feel virtual tool / virtual object interaction forces with the handle portions of the tool.

### 10 **Feel Point Placement**

A more subtle and powerful extension is the use of feel point placement relative to the modification region of the virtual tool to control tool behavior (i.e., modification behavior and interaction feel). The net effect of feel points is to generate forces such that 15 the spatial region determined by the feel points does not tend to penetrate the virtual object. The placement of feel points relative to the modification region of the virtual tool, therefore, can be used to increase, decrease or guide the virtual object modification that normally occurs with the modification region intersects with the virtual object.

**Feel points surrounding a larger version of the modification region.** If the 20 feel points are located such that they are offset outwardly from the surface of the modification region, as illustrated in Figure 10 at 131, several things can occur depending on the action of the modification region:

If the modification region removes or smoothes material where it overlaps with the virtual object, the effect of this feel point configuration is to require the user to press 25 against the surface of the virtual object with more force in order to remove or smooth material. This means that the virtual tool can be used to explore the surface of the virtual object if the user presses lightly (i.e., applies low forces) using the haptic interface device driving the virtual tool. If the user presses more heavily (i.e., higher forces), the modification region will intersect the virtual object and it will be modified. In general, 30 the more the feel points are spaced from the modification region, the higher the forces that will be required in order to cause modification of the Virtual object to occur.

If the modification region adds material, the effect of this feel point configuration is to maintain a gap between the material added by the virtual tool and the existing surface of the virtual object. Moreover, the user can use the interaction forces to both maintain and vary the gap between the added material and the existing virtual object.

- 5 The greater offset that the feel points are from the modification region, the greater the gap that is maintained for a given interaction force. In order to make the gap smaller, the user needs to press harder.

**Feel points surround a smaller version of the modification region.** If the feel points are located such that they are offset inwardly from the surface of the modification region towards as illustrated at 141 in Figure 11, several things can occur depending on the action of the modification region:

- 15 If the modification region removes or smoothes material where it overlaps with the virtual object, the effect of this feel point configuration is to make it easier to remove or smooth material. In other words, the user has to impart less force during the virtual tool / virtual object interaction before the modification region has its effect. With sufficient offset towards the inside of the modification region, the feel point configuration is such that the virtual tool will “sink” into the virtual object quite quickly.

If the modification region adds material, the effect of this feel point configuration is to add material that intersects with the surface of the existing virtual object.

- 20 **Density of feel points.** The spatial density of feel points can be used to filter the size of features that can be affected by the modification region. For example, as shown in Figure 12, a given population of feel points on the modification region surface makes it easier to remove any virtual object feature, such as those seen at 151, whose cross section is smaller than the spacing between the feel points. If the feel points are relatively sparse, the modification region more easily affects larger features on the virtual object. If the feel points are relatively dense, only smaller features can be affected.

- 25 **Feel points as guides.** Feel points can be located such that they do not strictly surround a larger or smaller version of the modification region. When employed in this fashion, the feel points can act to guide or stabilize the operation of a virtual tool. For example, Figure 13 shows a feel point configuration that tends to guide the virtual tool, in “removal” mode, in making “channels” of constant depth in the virtual object. The feel

points at 161 are displaced upwardly from the projecting operative bottom surface 163 of the modification region allowing the projecting operative surface 163 to move into the virtual object until the feel points 116 intersect the surface of the virtual object.

## 5 **Sensor Points**

As previously mentioned, in common practice all of the voxels that comprise the virtual object are considered to be a single virtual “material”. This virtual material has material attributes that affect its visual and haptic rendering and its response to modification operations by virtual tools and operators. The concept of “sensing” the material type of given voxel, therefore, is not an issue. In the VRDTS system, however, this concept has been extended such that each individual voxel in the 3D grid can have its own unique material type. Mechanisms for sensing the material type of a given voxel and for using this information to affect the action of the virtual tool, therefore, are needed in the VRDTS system.

In the VRDTS system, a sensor point (shown at 116 in Figures 9-14) is used in determining the “identity” of the virtual material and its effect on virtual tools. A virtual tool can have one or more sensor points associated with it. Each sensor point determines the virtual material of the virtual object at that point in space. The virtual material associated with points between the grid points in the attribute grid can be determined using nearest neighbor approaches or interpolation techniques, such as tri-linear interpolation. The information from all the sensor points associated with a virtual tool as used to help determine the virtual tool’s behavior.

Two approaches to using sensor points to help determine virtual tool behavior have been used. The first approach, as shown in Figures 9-14, is to use a single sensor point for the virtual tool. Typically, this sensor point is located at the center of mass of the virtual tool’s modification region. The virtual material identity is determined at the sensor point and the tool behaves appropriately. This approach has the advantage of simplicity. Its major limitation, however, is that transitions from one virtual material to another are not sensed until the sensor point itself makes the material transition. The single Sensor Point approach, therefore, tends to be only useful for virtual tools with relatively compact and symmetric modification regions.

The second approach to the use of sensor points involves associating one or more sensor points with each feel point. In the example seen in Fig. 14, the feel point 171 is paired with a sensor point 172. In this approach the virtual material sensed by the sensor points associated with a feel point affect the interaction force behavior of the feel point.

- 5 Where a single sensor point is associated with a single feel point, the characteristics of the virtual material sensed by the sensor point determine the interaction force contributions of the feel point to the overall interaction force. The overall interaction force is fed back to the user from the virtual tool through the haptic interaction device. As soon as the sensor point enters a new virtual material, the associated feel point's
- 10 interaction forces are changed to those of the new virtual material.

- More than one sensor point can be associated with a feel point. The parameters used to determine the feel points' contributions to the virtual tool's overall interaction force are computed as a weighted average of the parameters determined by the virtual material sensed at each sensor point. Typically, the weight given to a sensor point is
- 15 inversely proportional to its distance to the feel point. In other words, sensor points that are farther from the feel point have less effect on the feel point's interaction force parameters than sensor points that are closer to the feel point. Other methods for computing the effect of multiple sensor points on a single feel point, of course, can be used in this overall framework. It should also be noted that the same sensor point can be
- 20 associated with more than one feel point within this overall framework.

- A refinement to the second approach is to associate sensor points with a sub-region of the modification region. As previously mentioned the behavior of the modification region is partially determined by the virtual material with which it is interacting. For example, if the modification region's behavior is to remove material, its
- 25 rate of removal is dependent on the type of material. Some materials (i.e., "harder" materials) cause a lower rate of removal to be used and other materials (i.e., "softer" materials) cause a higher rate of removal to be used. In the refinement, the modification region is logically partitioned into multiple sub-regions. One or more sensor points determine the virtual material that is to be associated with a sub-region of the
- 30 modification region. The behavior of the overall modification region is determined by using a weighted average of the material parameters determined for each sub-region.

Figure 15 shows an example where the modification region is subdivide into four sub-regions, and a sensor point / feel point pair is assigned to each region. In this example, each sensor point determines the virtual material to be associated with a sub-region of the modification region. The

5 Typically, the weight given to each sub-region is proportional to its volume. If a virtual material removal tool is moving from a “soft” region to a “hard” region of the Virtual object, for example, the behavior of the virtual tools modification region will tend more and more towards the behavior to be experienced if the virtual tool was completely within the “hard” region as more and more modification region sub-regions (and their  
10 associated sensor points) enter the “hard” region of the virtual object. It should be noted that, other methods for computing the effect of each sub-region on the overall modification region can be used in the overall framework that has been described.

### Conclusion

15 It is to be understood that the specific implementations of the invention that have been described are merely illustrative applications of the principles of the invention. Numerous modifications may be made by those skilled in the art without departing from the true spirit and scope of the invention.